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Attorneys for Lehman Brothers Holdings Inc. and  
Certain of Its Affiliates

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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In re	: Chapter 11 Case No.
	:
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	: 08-13555 (JMP)
	:
Debtors.	: (Jointly Administered)
	:
-----X	

**CERTIFICATE OF NO OBJECTION UNDER  
28 U.S.C. § 1746 REGARDING OMNIBUS CLAIMS  
OBJECTIONS SCHEDULED FOR HEARING ON SEPTEMBER 26, 2013**

TO THE HONORABLE JAMES M. PECK  
UNITED STATES BANKRUPTCY JUDGE:

Pursuant to 28 U.S.C. § 1746, and in accordance with this Court's case management procedures set forth in the Amended Order Pursuant to Section 105(a) of the Bankruptcy Code and Bankruptcy Rules 1015(c) and 9007 Implementing Certain Notice and Case Management Procedures [ECF No. 9635] (the "Second Amended Case Management Order"), the undersigned hereby certifies as follows:

1. Lehman Brothers Holdings Inc. ("LBHI") and its affiliated debtors in the above-referenced chapter 11 cases, as debtors and debtors in possession (collectively, the "Debtors"), or LBHI as Plan Administrator under the Modified Third Amended Joint Chapter 11 Plan of LBHI and its Affiliated Debtors (the "Plan Administrator"), filed the following motions

and omnibus claims objections (collectively, the “Claims Objections”) with the Court for hearing on or before September 26, 2013:

- (a) Four Hundred Twenty-Eighth Omnibus Objection to Claims (Subordinated Guarantee Claims) solely with respect to Claim No. 55399 [**ECF No. 38967**]
- (b) Four Hundred Twenty-Ninth Omnibus Objection to Claims (No Liability Claims) [**ECF No. 39355**]
- (c) Four Hundred Thirtieth Omnibus Objection to Claims (No Liability Claims) [**ECF No. 39568**]
- (d) Four Hundred Thirty-First Omnibus Objection to Claims (Reduce and Allow Claims) [**ECF No. 39569**]
- (e) Four Hundred Thirty-Fourth Omnibus Objection to Claims (Settled Derivatives Claims) [**ECF No. 39572**]
- (f) Four Hundred Thirty-Fifth Omnibus Objection to Claims (No Liability Derivatives Claims) [**ECF No. 39579**]
- (g) Motion of Lehman Brothers Holdings Inc. Pursuant to Section 105(a) of the Bankruptcy Code and General Order M-452 for an Order Further Amending the Alternative Dispute Resolution Procedures for Affirmative Claims of Debtors Under Derivatives Transactions with Special Purpose Vehicles [**ECF No. 40020**]

2. In accordance with the Second Amended Case Management Order, the Debtors, or the Plan Administrator, as applicable, established deadlines (the “Response Deadline”) for each Claim Objection for parties to object or file responses. The Response Deadlines have been extended for certain creditors from time to time. The Second Amended Case Management Order provides that pleadings may be granted without a hearing, provided that no objections or other responsive pleadings have been filed on or prior to the relevant response deadline and the attorney for the entity who filed the pleading complies with the relevant procedural and notice requirements.

3. The Response Deadlines have now passed and, to the best of my knowledge, no responsive pleadings to the Claims Objections have been (a) filed with the Court

on the docket of the above-referenced cases in accordance with the procedures set forth in the Second Amended Case Management Order, or (b) served on counsel to the Debtors or the Plan Administrator (or such responsive pleadings have been withdrawn) by any of the holders of the claims included on Exhibit 1 to any of the Orders attached hereto, which include only the proofs of claim for which the Claims Objection will be granted. Responses to certain of the Claims Objections were filed on the docket, or served on the Debtors or the Plan Administrator, by holders of certain proofs of claim included on the Claims Objections. The hearing on the Claims Objections as to any proof of claim for which a response was either filed on the docket or received by the Debtors or the Plan Administrator, and which response has not been resolved, has been adjourned to a future date.

4. Accordingly, the Debtors and the Plan Administrator respectfully request that the proposed orders granting the Claims Objections annexed hereto as Exhibits A through G, which, except for the inclusion of additional language to indicate that such order is supplemental to a previously entered order for a Claim Objection or to reference the inclusion of separate exhibits attached to the proposed orders for proofs of claim for which the Claims Objection is granted, adjourned or withdrawn, are unmodified since the filing of the Claims Objections, be

entered in accordance with the procedures described in the Second Amended Case Management Order.

I declare that the foregoing is true and correct.

Dated: September 25, 2013  
New York, New York

/s/ Robert J. Lemons

Robert J. Lemons

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Attorneys for Lehman Brothers Holdings Inc. and  
Certain of Its Affiliates

**EXHIBIT A**  
**(Proposed Order – ECF No. 38967)**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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**In re** : **Chapter 11 Case No.**  
 :  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **08-13555 (JMP)**  
 :  
**Debtors.** : **(Jointly Administered)**  
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**ORDER GRANTING FOUR HUNDRED  
TWENTY-EIGHTH OMNIBUS OBJECTION TO CLAIMS  
(SUBORDINATED GUARANTEE CLAIMS) WITH RESPECT TO CERTAIN CLAIM**

Upon the four hundred twenty-eighth omnibus objection to claims dated July 23, 2013 (the “Four Hundred Twenty-Eighth Omnibus Objection to Claims”)<sup>1</sup> of Lehman Brothers Holdings Inc., as Plan Administrator under the Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and its Affiliated Debtors, pursuant to sections 502(b) and 510(a) of title 11 of the United States Code, Rule 3007(d) of the Federal Rules of Bankruptcy Procedure, and this Court’s order approving procedures for the filing of omnibus objections to proofs of claim [Docket No. 6664], seeking to classify the Subordinated Guarantee Claims, all as more fully described in the Four Hundred Twenty-Eighth Omnibus Objection to Claims; and due and proper notice of the Four Hundred Twenty-Eighth Omnibus Objection to Claims having been provided, and it appearing that no other or further notice need be provided; and the Court having found and determined that the relief sought in the Four Hundred Twenty-Eighth Omnibus Objection to Claims is in the best interests of the Chapter 11 Estates, their creditors, and all parties in interest, and that the legal and factual bases set forth in the Four Hundred Twenty-Eighth Omnibus Objection to Claims establish just cause for the relief granted herein; and after due deliberation and sufficient cause appearing therefor, it is

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<sup>1</sup> Capitalized terms used herein and not otherwise defined herein shall have the meanings ascribed to such terms in the Four Hundred Twenty-Eighth Omnibus Objection to Claims.

ORDERED that the relief requested in the Four Hundred Twenty-Eighth Omnibus Objection to Claims is granted to the extent provided herein; and it is further

ORDERED that the portions of the claim listed on Exhibit 1 annexed hereto that relate to the securities identified by ISIN XS0282978666 or ISIN XS0301813522 or the guarantee issued by LBHI in connection therewith, whether liquidated, unliquidated or undetermined, is disallowed and expunged in its entirety with prejudice; and it is further

ORDERED that the Court-appointed claims agent is authorized to modify the claims register to reflect this Order; and it is further

ORDERED that this Court shall retain jurisdiction to hear and determine all matters arising from or related to this Order.

Dated: \_\_\_\_\_, 2013  
New York, New York

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UNITED STATES BANKRUPTCY JUDGE

## **Exhibit 1**



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**OMNIBUS OBJECTION 428: EXHIBIT 1 - SUBORDINATED GUARANTEE CLAIMS**

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ISIN**	AMOUNTS TO BE DISALLOWED
1 CITIBANK (SWITZERLAND) AG	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/29/09	55399	XS0301813522	\$250,000.00
TOTAL						\$ 250,000.00

\* Plus unliquidated and/or undetermined amounts.

\*\* Only those portions of the claim related to the ISINs listed are subject to the Plan Administrators' Four Hundred Twenty-Eighth Omnibus Objection.

**EXHIBIT B**  
**(Proposed Order – ECF No. 39355)**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X  
**In re** : **Chapter 11 Case No.**  
 :  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **08-13555 (JMP)**  
 :  
**Debtors.** : **(Jointly Administered)**  
-----X

**ORDER GRANTING FOUR HUNDRED TWENTY-NINTH  
OMNIBUS OBJECTION TO CLAIMS (NO LIABILITY CLAIMS)**

Upon the four hundred twenty-ninth omnibus objection to claims, dated August 12, 2013 (the “Four Hundred Twenty-Ninth Omnibus Objection to Claims”), of Lehman Brothers Holdings Inc. (“LBHI” and the “Plan Administrator”), as Plan Administrator under the Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and Its Affiliated Debtors (the “Plan”),<sup>1</sup> in accordance with section 502(b) of title 11 of the United States Code (the “Bankruptcy Code”), Rule 3007(d) of the Federal Rules of Bankruptcy Procedure, and this Court’s order approving procedures for the filing of omnibus objections to proofs of claim [ECF No. 6664] (the “Procedures Order”), seeking disallowance and expungement of the No Liability Claims on the basis that they assert claims against LBHI for which LBHI has no liability, all as more fully described in the Four Hundred Twenty-Ninth Omnibus Objection to Claims; and due and proper notice of the Four Hundred Twenty-Ninth Omnibus Objection to Claims having been provided; and it appearing that no other or further notice need be provided; and the Court having found and determined that the relief sought in the Four Hundred Twenty-Ninth Omnibus Objection to Claims is in the best interests of the Chapter 11 Estates, their creditors, and all parties in interest and that the legal and factual bases set forth in the Four Hundred Twenty-Ninth

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<sup>1</sup> Capitalized terms used herein and not otherwise defined herein shall have the meanings ascribed to such terms in the Four Hundred Twenty-Ninth Omnibus Objection to Claims.

Omnibus Objection to Claims establish just cause for the relief granted herein; and after due deliberation and sufficient cause appearing therefor, it is

ORDERED that the relief requested in the Four Hundred Twenty-Ninth Omnibus Objection to Claims is granted to the extent provided herein; and it is further

ORDERED that, as alleged creditors of LBI, the LBI Employees listed on Exhibit 1 annexed hereto lack standing to assert against LBHI any alter ego claim, veil-piercing claim, or similar claim to disregard the corporate form of LBHI or LBI, and that any such claims are the property of the LBI estate and, as such, have been irrevocably released, discharged, and acquitted by the LBI Trustee pursuant to the LBI Settlement; and it is further

ORDERED that, pursuant to section 502(b) of the Bankruptcy Code, the claims listed on Exhibit 1 annexed hereto are disallowed and expunged in their entirety with prejudice; and it is further

ORDERED that this Order has no res judicata, estoppel, or other effect on the validity, allowance, or disallowance of, and all rights to object and defend on any basis are expressly reserved with respect to, any claim listed on Exhibit A annexed to the Four Hundred Twenty-Ninth Omnibus Objection to Claims that is not listed on Exhibit 1 annexed hereto; and it is further

ORDERED that this Court shall retain jurisdiction to hear and determine all matters arising from or related to this Order.

Dated: \_\_\_\_\_, 2013  
New York, New York

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UNITED STATES BANKRUPTCY JUDGE

## **Exhibit 1**

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
1 ACKERMAN, DONALD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13828	\$406,721.82	\$406,721.82 *	None
2 ADES, SABAH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13827	\$314,085.13	\$314,085.13 *	None
3 ALAMO, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13832	\$60,761.78	\$60,761.78 *	None
4 ALESSANDRO, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13831	\$674,073.51	\$674,073.51 *	None
5 ALTMAN, ROGER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13830	\$179,585.20	\$179,585.20 *	None
6 AMBRECHT, KENNETH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13829	\$4,546,389.35	\$4,546,389.35 *	None
7 ANDERSON, BRENTON	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13836	\$604,198.67	\$604,198.67 *	None
8 ANGST, CARLTON	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13834	\$684,752.96	\$684,752.96 *	None
9 ASHE, KATHLEEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13833	\$204,910.53	\$204,910.53 *	None
10 ASHER, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13840	\$135,224.41	\$135,224.41 *	None
11 ATCHISON, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13839	\$1,648,719.77	\$1,648,719.77 *	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
12 AYOUB, ANTHONY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13838	\$393,289.45	\$393,289.45 *	None
13 BAKER, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13837	\$929,533.23	\$929,533.23 *	None
14 BARRETT, BERNARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13844	\$2,330,405.07	\$2,330,405.07 *	None
15 BARY, ROBERTA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13843	\$73,755.48	\$73,755.48 *	None
16 BATKIN, ALAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13842	\$1,804,046.61	\$1,804,046.61 *	None
17 BECKERMAN, STANLEY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13841	\$878,524.27	\$878,524.27 *	None
18 BELLAS, ALBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13847	\$1,408,871.84	\$1,408,871.84 *	None
19 BELLINGER, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13846	\$1,650,779.41	\$1,650,779.41 *	None
20 BENDER, DOUGLAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13845	\$604,243.54	\$604,243.54 *	None
21 BENDER, THEODORE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13133	\$595,464.47	\$595,464.47 *	None
22 BERKLEY, HENRY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13132	\$1,031,621.43	\$1,031,621.43 *	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
23 BESSE, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13131	\$47,085.09	\$47,085.09 *	None
24 BEST, ALAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13130	\$402,767.98	\$402,767.98 *	None
25 BIGGAR, ELIZABETH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13129	\$161,566.62	\$161,566.62 *	None
26 BLUM, KEVIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13128	\$552,431.88	\$552,431.88 *	None
27 BLUTINGER, NATAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13849	\$120,479.71	\$120,479.71 *	None
28 BOE, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13855	\$220,272.56	\$220,272.56 *	None
29 BORCHERS, LEON	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13853	\$90,671.24	\$90,671.24 *	None
30 BORRUSO, PATRICK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13852	\$91,789.11	\$91,789.11 *	None
31 BOSHART, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13851	\$432,283.66	\$432,283.66 *	None
32 BOURNE, GEORGE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/24/2009	34783	\$773,869.45	\$773,869.45 *	None
33 BOYD, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13859	\$2,825,833.66	\$2,825,833.66 *	None



## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
34 BOYLES, KENNETH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13858	\$396,680.15	\$396,680.15 *	None
35 BRADY, JANE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13857	\$1,260,681.00	\$1,260,681.00 *	None
36 BRAGER, STANLEY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13856	\$97,587.59	\$97,587.59 *	None
37 BRANDT, COLEMAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13646	\$797,490.26	\$797,490.26	None
38 BRECK, CHRISTOPHER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13648	\$425,095.78	\$425,095.78	None
39 BRECK, HENRY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13647	\$496,253.01	\$496,253.01	None
40 BRECK, WILLIAM JR.	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13848	\$486,032.81	\$486,032.81 *	None
41 BRESNAN, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13649	\$309,537.84	\$309,537.84	None
42 BROADBENT, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13650	\$118,002.49	\$118,002.49	None
43 BRODA, KATHLEEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13651	\$144,010.00	\$144,010.00	None
44 BROWN, MELVILLE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13652	\$769,032.88	\$769,032.88	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
45 BROWN, ROBERT MOTT III	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13653	\$1,263,419.13	\$1,263,419.13	None
46 BRYDSON, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13654	\$201,393.17	\$201,393.17	None
47 BULS, DONNA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13655	\$83,168.72	\$83,168.72	None
48 BURNS, PERRY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13656	\$19,192.16	\$19,192.16	None
49 BURNS, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13657	\$266,761.56	\$266,761.56	None
50 BURTON, MARK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13662	\$764,942.18	\$764,942.18	None
51 BUSACCA, GARY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13661	\$393,289.45	\$393,289.45	None
52 BUTTERS, DAVID	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13660	\$810,278.95	\$810,278.95	None
53 BUTTERY, STUART	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13659	\$668,605.25	\$668,605.25	None
54 CAGNINA, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13658	\$836,141.87	\$836,141.87	None
55 CAMPBELL, ROBERT H.	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13672	\$818,878.27	\$818,878.27	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
56 CAPRA, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13671	\$190,537.50	\$190,537.50	None
57 CARBONE, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13670	\$805,594.08	\$805,594.08	None
58 CARBONE, RUDOLPH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16837	\$149,476.57	\$149,476.57	None
59 CARNS, LEWIS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16836	\$652,622.70	\$652,622.70	None
60 CARUANA, SALVATORE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16835	\$719,159.40	\$719,159.40	None
61 CEISLER, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16834	\$94,940.41	\$94,940.41	None
62 CERASIA, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16833	\$198,435.46	\$198,435.46	None
63 CHATLEY, BRUCE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16832	\$68,131.18	\$68,131.18	None
64 CHEN, PHILIP	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16831	\$604,198.67	\$604,198.67	None
65 CHILDERS, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16316	\$217,274.66	\$217,274.66 *	None
66 CIEMNIECKI, STANLEY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16317	\$1,530,634.07	\$1,530,634.07 *	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
67 CLARK, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16318	\$402,018.29	\$402,018.29 *	None
68 COGHLAN, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16319	\$1,162,463.56	\$1,162,463.56 *	None
69 COHEN, LEONARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16320	\$130,116.86	\$130,116.86 *	None
70 COHEN, PAUL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16321	\$117,670.71	\$117,670.71 *	None
71 COHEN, SALLEE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16444	\$925,353.00	\$925,353.00 *	None
72 COLACURCI, GLENN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16445	\$521,313.64	\$521,313.64 *	None
73 COLE, EMRIED	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16446	\$153,384.94	\$153,384.94 *	None
74 CONWAY, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16447	\$898,331.75	\$898,331.75 *	None
75 COOPER, STANLEY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16448	\$105,719.94	\$105,719.94 *	None
76 CORONADO, FRANCISCO	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16449	\$11,730.18	\$11,730.18 *	None
77 COSGROVE, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16451	\$747,261.32	\$747,261.32 *	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
78 COUCH, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16450	\$83,070.57	\$83,070.57 *	None
79 COX, TIMOTHY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16452	\$393,289.46	\$393,289.46 *	None
80 CRONIN, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16453	\$1,590,011.50	\$1,590,011.50 *	None
81 DAPUZZO, PETER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16455	\$698,995.07	\$698,995.07 *	None
82 DARSKY, JUDITH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16454	\$258,306.69	\$258,306.69 *	None
83 DAUMAN, STEWART	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16529	\$589,952.63	\$589,952.63 *	None
84 DAVID DWORSKY TRUST U/A FOURTH OF WILL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16545	\$245,869.14	\$245,869.14 *	None
85 DE SAINT PHALLE, FRANCOIS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16528	\$710,827.32	\$710,827.32 *	None
86 DEGAGLIA, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16530	\$644,470.12	\$644,470.12 *	None
87 DEGENNARO, MARK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16531	\$196,642.09	\$196,642.09 *	None
88 DEL CAMPO, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16532	\$440,546.57	\$440,546.57 *	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
89 DELLIPAOLI, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16533	\$483,930.25	\$483,930.25 *	None
90 DEUTSCH, HARVEY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16534	\$195,175.32	\$195,175.32 *	None
91 DIXON, BARBARA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16535	\$2,198,861.78	\$2,198,861.78 *	None
92 DOBIN, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16536	\$468,164.54	\$468,164.54 *	None
93 DOEPKE, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16537	\$714,573.44	\$714,573.44 *	None
94 DOLAN, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16538	\$393,289.45	\$393,289.45 *	None
95 DORFMAN, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16539	\$1,693,866.15	\$1,693,866.15 *	None
96 DORIS, MARTIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16540	\$684,752.96	\$684,752.96 *	None
97 DOROSK, JEFFREY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16541	\$199,310.77	\$199,310.77 *	None
98 DOYLE, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16542	\$72,198.78	\$72,198.78 *	None
99 DRESCHER, DENNIS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16543	\$783,209.77	\$783,209.77 *	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
100 DRUSKIN, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16544	\$511,279.68	\$511,279.68 *	None
101 EDMISTON, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16546	\$167,076.23	\$167,076.23 *	None
102 EDWARDS, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16547	\$46,677.58	\$46,677.58 *	None
103 EDWARDS, PAUL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16548	\$756,131.06	\$756,131.06 *	None
104 EDWARDS, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16549	\$1,215,484.94	\$1,215,484.94 *	None
105 EIGENFELD, STANLEY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16550	\$32,282.03	\$32,282.03 *	None
106 ELLIOTT, FREDERIC	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16551	\$18,953,785.50	\$18,953,785.50 *	None
107 ELLIS, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16552	\$255,051.37	\$255,051.37 *	None
108 ELLNER, OLIVE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16553	\$161,993.76	\$161,993.76 *	None
109 ESCHERT, ERWIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16554	\$662,026.66	\$662,026.66 *	None
110 ESTEY, ARTHUR	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16555	\$1,120,414.35	\$1,120,414.35 *	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
111 EVELO, JOSEPH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16556	\$388,934.25	\$388,934.25 *	None
112 EVENSON, DONALD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16557	\$46,052.28	\$46,052.28 *	None
113 FARLEY, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16558	\$1,689,506.35	\$1,689,506.35 *	None
114 FELBERBAUM, ROGER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16559	\$172,848.37	\$172,848.37 *	None
115 FELDMAN, ALAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16560	\$939,160.28	\$939,160.28 *	None
116 FELDMAN, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16561	\$246,802.53	\$246,802.53 *	None
117 FELL, LAURENCE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16562	\$422,758.52	\$422,758.52 *	None
118 FENWICK, LARRY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16563	\$198,586.70	\$198,586.70 *	None
119 FINLAYSON, RODERICK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16564	\$725,038.80	\$725,038.80 *	None
120 FISH, JASON	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16565	\$725,038.80	\$725,038.80 *	None
121 FISHBEIN, NORMAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16566	\$172,236.93	\$172,236.93 *	None



OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
122 FORSHAGEN, DOUGLAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16567	\$792,985.22	\$792,985.22 *	None
123 FOX, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16568	\$100,747.36	\$100,747.36 *	None
124 FRANK, EDWIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16569	\$589,952.63	\$589,952.63 *	None
125 FRANK, FREDERICK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16570	\$678,316.03	\$678,316.03 *	None
126 FRANKEL, ARNOLD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16571	\$149,302.64	\$149,302.64 *	None
127 FRIED, ARTHUR	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16572	\$1,706,418.70	\$1,706,418.70 *	None
128 FRIEDMAN, MARK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16573	\$873,435.89	\$873,435.89 *	None
129 FRY, EDWARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16574	\$1,099,882.87	\$1,099,882.87 *	None
130 FULTON, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16575	\$125,565.37	\$125,565.37 *	None
131 FULTZ, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16576	\$424,962.83	\$424,962.83 *	None
132 GALLATIN, RONALD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/10/2010	67002	\$3,399,209.32	\$3,399,209.32	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
133 GALLEA, ANTHONY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16578	\$455,613.60	\$455,613.60 *	None
134 GALLEHER, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16579	\$165,383.17	\$165,383.17 *	None
135 GANZ, SUSAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16580	\$335,314.96	\$335,314.96 *	None
136 GARBER, ALAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16581	\$124,658.56	\$124,658.56 *	None
137 GARD, RONALD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16582	\$145,373.59	\$145,373.59 *	None
138 GARTLAND, JUDE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16583	\$635,105.80	\$635,105.80 *	None
139 GARZARELLI, ELAINE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16584	\$805,594.08	\$805,594.08 *	None
140 GELBAND, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16585	\$201,393.17	\$201,393.17 *	None
141 GENGLER, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16586	\$390,184.99	\$390,184.99 *	None
142 GENIRS, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16587	\$480,287.30	\$480,287.30 *	None
143 GIOIELLA, HENRY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16588	\$402,790.46	\$402,790.46 *	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
144 GLADSTONE, ALAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16589	\$977,694.31	\$977,694.31 *	None
145 GLASKY, JOEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16590	\$926,434.44	\$926,434.44 *	None
146 GLISKER, GEORGE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16591	\$69,541.76	\$69,541.76 *	None
147 GOLD, JONATHAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16592	\$954,916.85	\$954,916.85 *	None
148 GOLDMAN, CLIFFORD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16593	\$201,485.72	\$201,485.72 *	None
149 GOLDSCHMIDT, ELI	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16594	\$356,275.78	\$356,275.78 *	None
150 GOODE, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16595	\$969,871.11	\$969,871.11 *	None
151 GOODSPEED, ROGER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16596	\$1,416,746.53	\$1,416,746.53 *	None
152 GOOGINS, GEORGE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16597	\$245,991.78	\$245,991.78 *	None
153 GOTT, STEPHEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16598	\$1,764,188.02	\$1,764,188.02 *	None
154 GOTTMANN, HENRY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16599	\$688,254.28	\$688,254.28 *	None

**OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY**

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
155 GRABER, JERROLD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16600	\$43,171.91	\$43,171.91 *	None
156 GRAVES, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16601	\$461,942.25	\$461,942.25 *	None
157 GREEN, LAWRENCE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16602	\$3,049,012.24	\$3,049,012.24 *	None
158 GREGORY, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/24/2009	34782	\$72,302.88	\$72,302.88 *	None
159 GUERNSEY, ALAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16604	\$1,401,517.42	\$1,401,517.42 *	None
160 HADLEY, EDWIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	16605	\$88,004.43	\$88,004.43 *	None
161 HAJIM, EDMUND	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24034	\$434,465.24	\$434,465.24 *	None
162 HAMENT, NANCY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24035	\$1,208,350.38	\$1,208,350.38 *	None
163 HANKS, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24036	\$634,851.25	\$634,851.25 *	None
164 HARCUM, JOSEPH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24037	\$336,992.95	\$336,992.95 *	None
165 HART, EDWARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24038	\$398,634.80	\$398,634.80 *	None

**OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY**

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
166 HART, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24039	\$101,843.92	\$101,843.92 *	None
167 HAYES, BRIAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24040	\$1,688,694.34	\$1,688,694.34 *	None
168 HAYES, DENNIS LEE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24041	\$2,209,116.79	\$2,209,116.79 *	None
169 HERRMANN, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24042	\$429,574.67	\$429,574.67 *	None
170 HERSHBERG, DAVID	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24043	\$787,881.87	\$787,881.87 *	None
171 HERZER, CHARLES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24044	\$725,038.80	\$725,038.80 *	None
172 HETZEL, CHARLES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24045	\$2,137,419.68	\$2,137,419.68 *	None
173 HIGGINS, HARRISON	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24046	\$2,958,423.46	\$2,958,423.46 *	None
174 HILL, J. TOMLINSON	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24048	\$2,769,162.25	\$2,769,162.25 *	None
175 HIMELRIGHT, LORING	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24047	\$129,228.38	\$129,228.38 *	None
176 HOFFMAN, ARNOLD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24049	\$489,560.79	\$489,560.79 *	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
177 HOFFMAN, KENNETH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24050	\$1,048,247.24	\$1,048,247.24 *	None
178 HOOPES, L. SCOTT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24051	\$1,979,834.14	\$1,979,834.14 *	None
179 HUBBARD, CHARLES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24054	\$1,057,313.46	\$1,057,313.46 *	None
180 HYLDAHL, BRUCE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24052	\$61,630.48	\$61,630.48 *	None
181 ILLGES, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24053	\$70,279.42	\$70,279.42 *	None
182 ISLES, PHILIP	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24055	\$1,146,341.20	\$1,146,341.20 *	None
183 JACKSON, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24056	\$572,291.20	\$572,291.20 *	None
184 JACOBS, DAVID	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24057	\$1,907,898.45	\$1,907,898.45 *	None
185 JAMES, GRAEME	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24058	\$500,151.21	\$500,151.21 *	None
186 JEFFREY K. ANDERSON ESTATE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13835	\$528,656.26	\$528,656.26 *	None
187 KAPLAN, ALICE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24059	\$258,875.86	\$258,875.86 *	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
188 KAPLAN, JACK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24060	\$110,505.38	\$110,505.38 *	None
189 KAROL, HERBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24061	\$304,478.94	\$304,478.94 *	None
190 KATZ, EVAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24063	\$149,508.12	\$149,508.12 *	None
191 KAUFMAN, JOEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24062	\$390,765.32	\$390,765.32 *	None
192 KEARNS, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24064	\$248,963.31	\$248,963.31 *	None
193 KELLY, BRIAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24065	\$312,605.37	\$312,605.37 *	None
194 KILGORE, JON	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24066	\$402,790.46	\$402,790.46 *	None
195 KIRBY, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24067	\$171,790.26	\$171,790.26 *	None
196 KLONSKY, DANIEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24069	\$820,520.00	\$820,520.00 *	None
197 KOENEN, KATHLEEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24068	\$243,334.92	\$243,334.92 *	None
198 KOPP, BRADFORD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24071	\$966,719.06	\$966,719.06 *	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
199 KOWSKI, GEORGE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24072	\$204,307.17	\$204,307.17 *	None
200 KOZELETZ, STEPHEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24070	\$81,042.81	\$81,042.81 *	None
201 KRA, HOWARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25746	\$606,590.78	\$606,590.78 *	None
202 KRANTZ, ERIC	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25744	\$124,048.78	\$124,048.78 *	None
203 KRUEGER, HARVEY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25745	\$477,633.48	\$477,633.48 *	None
204 KUNIGK, PETER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25743	\$789,698.47	\$789,698.47 *	None
205 KURZROK, MORTON	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25742	\$350,573.80	\$350,573.80 *	None
206 LAKEFIELD, BRUCE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25741	\$667,332.41	\$667,332.41 *	None
207 LANCASTER, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25738	\$1,163,845.69	\$1,163,845.69 *	None
208 LANDAU, ARNOLD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25740	\$153,406.42	\$153,406.42 *	None
209 LANDGRAF, KARL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25739	\$604,198.67	\$604,198.67 *	None



OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
210 LANE, JEFFREY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25737	\$3,744,393.79	\$3,744,393.79 *	None
211 LAPCZYNSKI, ANTHONY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25736	\$118,600.48	\$118,600.48 *	None
212 LATERMAN, BERNARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25735	\$93,019.96	\$93,019.96 *	None
213 LEETZOW, LEONARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24010	\$242,332.78	\$242,332.78 *	None
214 LEHMAN, JACK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24011	\$2,070,572.63	\$2,070,572.63 *	None
215 LEHR, SETH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24012	\$589,712.27	\$589,712.27 *	None
216 LENTZ, HENRY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24013	\$164,222.98	\$164,222.98 *	None
217 LENZ, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24014	\$411,940.81	\$411,940.81 *	None
218 LESSING, STEPHEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24017	\$1,012,689.62	\$1,012,689.62 *	None
219 LESTER, RONALD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24018	\$54,283.16	\$54,283.16 *	None
220 LEVINSON, ANDREW	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24019	\$653,994.12	\$653,994.12 *	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
221 LEVY, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24015	\$211,858.88	\$211,858.88 *	None
222 LEVY, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24016	\$111,472.56	\$111,472.56 *	None
223 LEWIS, DOROTHY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24025	\$2,051,318.02	\$2,051,318.02 *	None
224 LIBMAN, SPENCER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24026	\$98,844.82	\$98,844.82 *	None
225 LIND, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24027	\$402,790.46	\$402,790.46 *	None
226 LINDSTROM, WARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24020	\$693,790.23	\$693,790.23 *	None
227 LLOYD, MARCELLE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24024	\$1,407,492.00	\$1,407,492.00 *	None
228 LONGO, NICHOLAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24021	\$1,268,915.46	\$1,268,915.46 *	None
229 LORD, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24022	\$393,259.01	\$393,259.01 *	None
230 LOVETT, NIGEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	24023	\$2,064,072.17	\$2,064,072.17 *	None
231 LUSARDI, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22479	\$201,393.17	\$201,393.17	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
232 MADDEN, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22480	\$3,026,304.05	\$3,026,304.05	None
233 MAGUIRE, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22481	\$1,280,230.23	\$1,280,230.23	None
234 MAHER, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22482	\$344,888.16	\$344,888.16	None
235 MANLEY, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22483	\$481,221.39	\$481,221.39	None
236 MARANTZ, ALAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22484	\$474,809.11	\$474,809.11	None
237 MARINO, BENEDICT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22485	\$87,979.00	\$87,979.00	None
238 MARINO, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22486	\$393,289.45	\$393,289.45	None
239 MARKS, HERBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22487	\$201,407.92	\$201,407.92	None
240 MARTINDALE, WIGHT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22488	\$369,899.59	\$369,899.59	None
241 MARTINEZ, ROMAN IV	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22489	\$685,698.90	\$685,698.90	None
242 MARTOV, MARTIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22490	\$117,529.61	\$117,529.61	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
243 MAY, HAROLD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22522	\$307,642.61	\$307,642.61	None
244 MCCANN, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22523	\$177,567.50	\$177,567.50	None
245 MCCLEARY, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22524	\$1,037,736.66	\$1,037,736.66	None
246 MCCORMICK, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22507	\$740,413.68	\$740,413.68	None
247 MCDANIEL, ROGER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22583	\$195,075.06	\$195,075.06 *	None
248 MCGLYNN, EDWARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22582	\$943,723.04	\$943,723.04 *	None
249 MCGUINN, EDWIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22581	\$2,113,680.26	\$2,113,680.26 *	None
250 MCHALE, EDWARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22580	\$94,509.53	\$94,509.53	None
251 MCKEOWN, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22579	\$603,625.74	\$603,625.74	None
252 MCLENDON, HEATH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22578	\$162,228.57	\$162,228.57	None
253 MCPHERSON, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22577	\$193,962.58	\$193,962.58	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
254 MEHAFFEY, STANLEY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22576	\$424,903.98	\$424,903.98	None
255 MEJEAN, PAUL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22575	\$1,123,799.82	\$1,123,799.82	None
256 MELNIK, RONALD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22574	\$267,611.36	\$267,611.36	None
257 MELZER, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22573	\$285,555.26	\$285,555.26	None
258 MESSINGER, CRAIG	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22572	\$396,983.65	\$396,983.65	None
259 MICKEL, FRANK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22571	\$432,123.27	\$432,123.27	None
260 MIKULICH, RAYMOND	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22570	\$322,233.80	\$322,233.80	None
261 MILLARD, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22569	\$748,452.18	\$748,452.18	None
262 MILLER, JEROME	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22568	\$595,033.47	\$595,033.47	None
263 MILLER, RONALD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22567	\$85,443.55	\$85,443.55	None
264 MILVERSTED, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22566	\$322,233.80	\$322,233.80	None

**OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY**

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
265 MINTER, ALAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22565	\$195,006.45	\$195,006.45	None
266 MONCRIEFFE, PEREGRINE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22564	\$127,691.45	\$127,691.45	None
267 MONTALBANO, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22563	\$687,975.52	\$687,975.52	None
268 MORGIA, CATALDO	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22562	\$178,910.74	\$178,910.74	None
269 MORTKOWITZ, HARRY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22561	\$1,305,641.96	\$1,305,641.96	None
270 MOSCHELLA, JOSEPH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22560	\$485,549.24	\$485,549.24	None
271 MUNRO, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22559	\$430,539.81	\$430,539.81	None
272 MURPHY, NEWELL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22558	\$86,054.41	\$86,054.41	None
273 MURRAY, PHILLIP	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22557	\$309,331.90	\$309,331.90	None
274 NASTRO, CHARLES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22556	\$238,865.61	\$238,865.61	None
275 NAVRUDE, STANLEY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22555	\$84,912.62	\$84,912.62	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
276 NEIL, FRANK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22525	\$183,679.87	\$183,679.87	None
277 NESTOR, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22526	\$457,295.47	\$457,295.47	None
278 NEWMARK, PAUL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22527	\$553,185.15	\$553,185.15	None
279 ODERMATT, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22528	\$590,090.38	\$590,090.38	None
280 ORLINS, STEPHEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22529	\$1,009,317.51	\$1,009,317.51	None
281 OWENS, JOHNS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22530	\$268,532.28	\$268,532.28	None
282 PALATNEK, ARNOLD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22531	\$805,594.08	\$805,594.08	None
283 PASSMAN, SEYMOUR	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22532	\$400,415.65	\$400,415.65	None
284 PAVLOFF, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22533	\$69,057.33	\$69,057.33	None
285 PENROSE, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22534	\$644,470.12	\$644,470.12	None
286 PETTIT, ANNE MARY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22535	\$1,989,654.99	\$1,989,654.99	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
287 PHYFER, DANIEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22536	\$94,462.11	\$94,462.11	None
288 PLUMERI, JOSEPH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22537	\$1,409,794.75	\$1,409,794.75	None
289 POGGI, IRENE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22538	\$297,412.58	\$297,412.58	None
290 POLHEMUS, KENNETH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22539	\$585,010.20	\$585,010.20	None
291 POLLACK, HOWARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22501	\$523,629.79	\$523,629.79	None
292 PONDY, DAVID	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22502	\$629,270.57	\$629,270.57	None
293 PORTER, GRANT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22503	\$346,637.88	\$346,637.88	None
294 PRAVATO, FRANK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22504	\$101,101.80	\$101,101.80	None
295 PUCCIARELLI, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22505	\$440,546.57	\$440,546.57	None
296 PULLING, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22506	\$732,806.98	\$732,806.98	None
297 QUAST, LANI	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22508	\$781,527.79	\$781,527.79	None



## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
298 RANEY, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22510	\$143,205.03	\$143,205.03	None
299 RAYMOND, EUGENE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22509	\$478,079.55	\$478,079.55	None
300 REEF, ALAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22512	\$424,962.83	\$424,962.83	None
301 REITZEL, EDWARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22511	\$1,437,698.19	\$1,437,698.19	None
302 RENEHAN, DANIEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22513	\$1,006,894.00	\$1,006,894.00	None
303 RENZI, FRANCES D.	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22514	\$125,731.18	\$125,731.18	None
304 RING, CARL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22515	\$248,199.73	\$248,199.73	None
305 RITZ, NORMAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22516	\$336,401.99	\$336,401.99	None
306 ROBINSON, ROBY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22517	\$675,210.01	\$675,210.01	None
307 ROBSON, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22518	\$2,748,034.65	\$2,748,034.65	None
308 ROOSEVELT, THEODORE, IV	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22519	\$1,315,474.28	\$1,315,474.28	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
309 ROPER, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22520	\$805,594.08	\$805,594.08	None
310 ROSEE, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22551	\$129,228.38	\$129,228.38	None
311 ROSENBERG, NANETTE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22552	\$2,197,980.00	\$2,197,980.00	None
312 RYAN, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22553	\$116,541.23	\$116,541.23	None
313 SACCO, GREGORY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22554	\$660,586.88	\$660,586.88	None
314 SACK, EDWARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22585	\$276,498.41	\$276,498.41 *	None
315 SAMRA, ALEXANDRA S.	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13669	\$1,074,048.66	\$1,074,048.66	None
316 SAVARESE, LAWRENCE JR.	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13668	\$457,136.74	\$457,136.74	None
317 SCANLON, JOSEPH	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13667	\$465,793.45	\$465,793.45	None
318 SCARAGGI, FRANK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13666	\$1,208,399.28	\$1,208,399.28	None
319 SCHAEFER, GARY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13665	\$1,932,389.52	\$1,932,389.52	None

## OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
320 SCHIFFER, CRAIG	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13664	\$803,008.99	\$803,008.99	None
321 SCHOENTHAL, DAVID	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13663	\$1,674,079.91	\$1,674,079.91	None
322 SCHULSINGER, JEFFREY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13601	\$834,616.89	\$834,616.89 *	None
323 SCHWARZMAN, STEPHEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13600	\$233,190.74	\$233,190.74 *	None
324 SEEGAL, FRED	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13599	\$220,957.22	\$220,957.22 *	None
325 SEIBELS, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13537	\$129,770.83	\$129,770.83 *	None
326 SHAFIROFF, MARTIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13536	\$974,562.05	\$974,562.05 *	None
327 SHAFTEL, MEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13535	\$943,398.98	\$943,398.98 *	None
328 SHAPIRO, ROBERT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13534	\$1,573,198.43	\$1,573,198.43 *	None
329 SHEAN, ANNE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13533	\$2,129,050.00	\$2,129,050.00 *	None
330 SHEINBERG, GEORGE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13532	\$733,237.23	\$733,237.23 *	None

**OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY**

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
331 SHELTON, CHARLES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13531	\$299,710.67	\$299,710.67 *	None
332 SHEPARD, FRANK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13530	\$393,289.45	\$393,289.45 *	None
333 SHERMAN, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13529	\$190,515.50	\$190,515.50 *	None
334 SHERMAN, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13528	\$55,760.91	\$55,760.91 *	None
335 SHORR, DAVID	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13527	\$637,675.44	\$637,675.44 *	None
336 SHUTZER, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13526	\$2,165,845.63	\$2,165,845.63 *	None
337 SILVERBERG, DAVID	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13525	\$71,198.51	\$71,198.51 *	None
338 SIMMONS, HARDWICK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13524	\$1,485,056.68	\$1,485,056.68 *	None
339 SIMONETTI, PHILIP	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13523	\$402,790.46	\$402,790.46 *	None
340 SIMONINI, JULIUS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13522	\$1,013,258.96	\$1,013,258.96 *	None
341 SINAI, ALLEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13521	\$618,173.96	\$618,173.96 *	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
342 SLIFER, STEPHEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13520	\$613,904.25	\$613,904.25 *	None
343 SMITH, MICHAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13519	\$168,802.87	\$168,802.87 *	None
344 SMITH, RUSSELL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13518	\$36,000.00	\$36,000.00 *	None
345 SOBOTKA, DAVID	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13517	\$571,660.64	\$571,660.64 *	None
346 SOLOMON, PETER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13516	\$1,299,825.11	\$1,299,825.11 *	None
347 SPAR, WARREN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13515	\$802,475.70	\$802,475.70 *	None
348 SPIEGEL, STEVEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13514	\$1,134,094.29	\$1,134,094.29 *	None
349 SPRING, BURKE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13513	\$315,486.24	\$315,486.24 *	None
350 STEIN, DAVID	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13512	\$144,741.57	\$144,741.57 *	None
351 STERN, JAMES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	22584	\$3,644,765.50	\$3,644,765.50 *	None
352 STEVENSON, MARK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13511	\$369,896.64	\$369,896.64 *	None

**OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY**

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
353 STONE, JERRY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13510	\$292,360.84	\$292,360.84 *	None
354 STRONG, ROGER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13509	\$46,343.99	\$46,343.99 *	None
355 STRUBLE, RAYMOND	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13508	\$977,477.50	\$977,477.50 *	None
356 TERRELL, STEVEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13507	\$249,528.60	\$249,528.60 *	None
357 TESCHE, ALLEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13506	\$156,019.74	\$156,019.74 *	None
358 TIRELLO, ED JR	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13502	\$128,222.32	\$128,222.32 *	None
359 TOPOL, CLIFFORD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13504	\$1,619,950.65	\$1,619,950.65 *	None
360 TROY, AUSTIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13501	\$1,050,496.37	\$1,050,496.37 *	None
361 TUCKER, THOMAS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13500	\$1,559,682.65	\$1,559,682.65 *	None
362 URCIUOLI, CARMINE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13499	\$644,470.12	\$644,470.12 *	None
363 VANDENBOSSCHE, PAMELA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13498	\$907,296.13	\$907,296.13 *	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
364 VIERING, DONALD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13497	\$777,512.05	\$777,512.05 *	None
365 VINCENT, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13496	\$750,219.36	\$750,219.36 *	None
366 VIRANY, STEVEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13495	\$552,446.56	\$552,446.56 *	None
367 VLACH, ROGER	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13563	\$473,118.93	\$473,118.93 *	None
368 VOELKER, EDWARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13562	\$1,301,204.73	\$1,301,204.73 *	None
369 VON STUTTERHEIM, KLAUS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13561	\$253,922.66	\$253,922.66 *	None
370 WAIT, JARRETT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13560	\$713,145.57	\$713,145.57 *	None
371 WALLACE, GENE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13559	\$34,541.53	\$34,541.53 *	None
372 WALTHER, GARY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13558	\$408,396.00	\$408,396.00 *	None
373 WASHKOWITZ, ALAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13557	\$1,720,565.69	\$1,720,565.69 *	None
374 WEINBERG, RICHARD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13556	\$917,268.06	\$917,268.06 *	None

OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
375 WEISS, EUGENE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13554	\$42,923.28	\$42,923.28 *	None
376 WELLS, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13553	\$71,904.34	\$71,904.34 *	None
377 WEST, PATRICK	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13552	\$375,335.47	\$375,335.47 *	None
378 WESTON, GERALD	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13551	\$993,174.53	\$993,174.53 *	None
379 WILLIAMS, PAUL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13550	\$185,346.15	\$185,346.15 *	None
380 WILLIAMSON, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13549	\$589,952.63	\$589,952.63 *	None
381 WILSON, JOHN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13548	\$1,078,049.06	\$1,078,049.06 *	None
382 WINCHESTER, DAVID	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13547	\$613,373.96	\$613,373.96 *	None
383 WOLFF, WILLIAM	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13546	\$402,790.46	\$402,790.46 *	None
384 WOLGEMUTH, KENT	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13545	\$353,370.57	\$353,370.57 *	None
385 WOLITZER, STEVEN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13544	\$2,172,236.60	\$2,172,236.60 *	None



**OMNIBUS OBJECTION 429: EXHIBIT 1 - NO LIABILITY**

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	AMOUNTS NOT SUBJECT TO THIS OBJECTION
386 WRIGHT, JEANNIE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13543	\$182,122.63	\$182,122.63 *	None
387 WRIGHT, JOHN K	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13542	\$451,348.09	\$451,348.09 *	None
388 WYNN, BARRY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13541	\$765,673.02	\$765,673.02 *	None
389 YARKIN, ALLAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13540	\$37,115.23	\$37,115.23 *	None
390 ZATULOVE, PAUL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13539	\$124,430.23	\$124,430.23 *	None
391 ZIPP, BRIAN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13538	\$1,016,729.65	\$1,016,729.65 *	None
392 ZOOK, GEORGE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13555	\$117,063.83	\$117,063.83 *	None
TOTAL					\$279,943,539.82	\$279,943,539.82	

**EXHIBIT C**  
**(Proposed Order – ECF No. 39568)**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X  
**In re** : **Chapter 11 Case No.**  
 :  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **08-13555 (JMP)**  
 :  
**Debtors.** : **(Jointly Administered)**  
-----X

**ORDER GRANTING THE FOUR HUNDRED THIRTIETH  
OMNIBUS OBJECTION TO CLAIMS (NO LIABILITY CLAIMS)**

Upon the four hundred thirtieth omnibus objection to claims, dated August 20, 2013 (the “Four Hundred Thirtieth Omnibus Objection to Claims”),<sup>1</sup> of Lehman Brothers Holdings Inc., as Plan Administrator under the Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and Its Affiliated Debtors for certain entities in the above-referenced chapter 11 cases (collectively, the “Chapter 11 Estates”), pursuant to section 502(b) of title 11 of the United States Code (the “Bankruptcy Code”), Rule 3007(d) of the Federal Rules of Bankruptcy Procedure, and this Court’s order approving procedures for the filing of omnibus objections to proofs of claim [ECF No. 6664] (the “Procedures Order”), seeking disallowance and expungement of the No Liability Claims to the extent that they assert claims for which the applicable Chapter 11 Estates do not have any liability, all as more fully described in the Four Hundred Thirtieth Omnibus Objection to Claims; and due and proper notice of the Four Hundred Thirtieth Omnibus Objection to Claims having been provided, and it appearing that no other or further notice need be provided; and the Court having found and determined that the relief sought in the Four Hundred Thirtieth Omnibus Objection to Claims is in the best interests of the Chapter 11 Estates, their creditors, and all parties in interest and that the legal and factual bases

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<sup>1</sup> Capitalized terms used herein and not otherwise defined herein shall have the meanings ascribed to such terms in the Four Hundred Thirtieth Omnibus Objection to Claims.

set forth in the Four Hundred Thirtieth Omnibus Objection to Claims establish just cause for the relief granted herein; and after due deliberation and sufficient cause appearing therefore, it is

ORDERED that the relief requested in the Four Hundred Thirtieth Omnibus Objection to Claims is granted to the extent provided herein; and it is further

ORDERED that, pursuant to section 502(b) of the Bankruptcy Code, the claims listed on Exhibit 1 annexed hereto (collectively, the “No Liability Claims”) are disallowed and expunged, with prejudice, to the extent set forth therein; and it is further

ORDERED that this Order has no res judicata, estoppel, or other effect on the validity, allowance, or disallowance of, and all rights to object and defend on any basis are expressly reserved with respect to, (i) any claim listed on Exhibit A annexed to the Four Hundred Thirtieth Omnibus Objection to Claims that is not listed on Exhibit 1 annexed hereto and (ii) the portion of any No Liability Claim that is not the subject of the Four Hundred Thirtieth Omnibus Objection to Claims; and it is further

ORDERED that this Court shall retain jurisdiction to hear and determine all matters arising from or related to this Order.

Dated: \_\_\_\_\_, 2013  
New York, New York

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UNITED STATES BANKRUPTCY JUDGE

## **Exhibit 1**

## OMNIBUS OBJECTION 430: EXHIBIT 1 - NO LIABILITY CLAIMS

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	REASON FOR PROPOSED DISALLOWANCE
1 LB CAYMAN FINANCE LIMITED	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	23479	\$1,112,961.00 *	\$1,112,961.00 *	Claim 23479 is based on obligations owed by LBIE. LBHI has no liability to claimant relative to such obligations.
2 LEYDIG, VOIT, & MAYER, LTD.	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/22/2009	33664	\$187,164.35	\$187,164.35	Claim 33664 is based on obligations owed by LBI. LBHI has no liability to claimant relative to such obligations.
3 US BANK NATIONAL ASSOCIATION	08-13888 (JMP)	Lehman Brothers Special Financing Inc.	10/27/2010	67152	Undetermined	Undetermined	Claim 67152 is based on a Reserve Fund Agreement dated as of October 31, 1997, among Triton Ocean State LLC, State Street Bank and Trust Company, and LBSF. Claim 67152 is duplicative of Claim 13410.
4 US BANK NATIONAL ASSOCIATION	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/27/2010	67153	Undetermined	Undetermined	Claim 67153 is based on a Reserve Fund Agreement dated as of October 31, 1997, among Triton Ocean State LLC, State Street Bank and Trust Company, and LBSF. LBHI has no liability to claimant relative to such Reserve Fund Agreement.
TOTAL					\$1,300,125.35	\$1,300,125.35	

**EXHIBIT D**  
**(Proposed Order – ECF No. 39569)**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X  
**In re** : **Chapter 11 Case No.**  
: **LEHMAN BROTHERS HOLDINGS INC., et al.,** : **08-13555 (JMP)**  
: **Debtors.** : **(Jointly Administered)**  
-----X

**ORDER GRANTING FOUR HUNDRED THIRTY-FIRST  
OMNIBUS OBJECTION TO CLAIMS (REDUCE AND ALLOW CLAIMS)**

Upon the four hundred thirty-first omnibus objection to claims, dated August 20, 2013 (the “Four Hundred Thirty-First Omnibus Objection to Claims”),<sup>1</sup> of Lehman Brothers Holdings Inc., as Plan Administrator under the *Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and Its Affiliated Debtors*, pursuant to section 502 of title 11 of the United States Code (the “Bankruptcy Code”), Rule 3007(d) of the Federal Rules of Bankruptcy Procedure, and this Court’s order approving procedures for the filing of omnibus objections to proofs of claim [ECF No. 6664], seeking to reduce and allow the Reduce and Allow Claims, as more fully described in the Four Hundred Thirty-First Omnibus Objection to Claims; and due and proper notice of the Four Hundred Thirty-First Omnibus Objection to Claims having been provided, and it appearing that no other or further notice need be provided; and the Court having found and determined that the relief requested in the Four Hundred Thirty-First Omnibus Objection to Claims is in the best interests of the Chapter 11 Estates, their creditors, and all parties in interest, and that the legal and factual bases set forth in the Four Hundred Thirty-First Omnibus Objection to Claims establish just cause for the relief granted herein; and after due deliberation and sufficient cause appearing therefor, it is

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<sup>1</sup> Capitalized terms used but not otherwise defined herein shall have the meanings ascribed to such terms in the Four Hundred Thirty-First Omnibus Objection to Claims.



ORDERED that the relief requested in the Four Hundred Thirty-First Omnibus Objection to Claims is granted to the extent provided herein; and it is further

ORDERED that each Reduce and Allow Claim listed on Exhibit 1 annexed hereto is reduced and allowed in the modified amount and priority set forth on Exhibit 1, and any asserted amounts in excess of the modified amount are disallowed; and it is further

ORDERED that this Order has no res judicata, estoppel, or other effect on the validity, allowance, or disallowance of, and all rights to object and defend on any basis are expressly reserved with respect to any claim listed on Exhibit A to the Four Hundred Thirty-First Omnibus Objection to Claims that does not appear on Exhibit 1 annexed hereto; and it is further

ORDERED that this Court shall retain jurisdiction to hear and determine all matters arising from or related to this Order.

Dated: \_\_\_\_\_, 2013  
New York, New York

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UNITED STATES BANKRUPTCY JUDGE

## **Exhibit 1**

OMNIBUS OBJECTION 431: EXHIBIT 1 - REDUCE & ALLOW

NAME	CLAIM #	ASSERTED DEBTOR	MODIFIED DEBTOR	AMOUNTS				
				ADMINIS-TRATIVE	SECURED	PRIORITY	UNSECURED	TOTAL
1 DAVID SCHWARTZ REVOCABLE TRUST	68141	Lehman Brothers OTC Derivatives Inc.	Lehman Brothers Holdings Inc.	ASSERTED AMT.			\$166,855.00	\$166,855.00
				MODIFIED AMT.			\$126,555.00	\$126,555.00
				Reason for Reduction:		Inaccurate valuation of warrant issued by Lehman Brothers Holdings Inc. pursuant to governing documents.		
		TOTAL AMOUNT SUBJECT TO OBJECTION				\$166,855.00	\$166,855.00	
		TOTAL CLAIMS AS MODIFIED				\$126,555.00	\$126,555.00	

\* - Indicates claim contains unliquidated and/or undetermined amounts

**EXHIBIT E**  
**(Proposed Order – ECF No. 39572)**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X	
<b>In re</b>	<b>:</b> <b>Chapter 11 Case No.</b>
	<b>:</b>
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	<b>:</b> <b>08-13555 (JMP)</b>
	<b>:</b>
<b>Debtors.</b>	<b>:</b> <b>(Jointly Administered)</b>
-----X	

**ORDER GRANTING FOUR HUNDRED THIRTY-FOURTH  
OMNIBUS OBJECTION TO CLAIMS (SETTLED DERIVATIVES CLAIMS)**

Upon the four hundred thirty-fourth omnibus objection to claims, dated August 20, 2013 (the “Four Hundred Thirty-Fourth Omnibus Objection to Claims”),<sup>1</sup> of Lehman Brothers Holdings Inc. (“LBHI” and the “Plan Administrator”), as Plan Administrator under the Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and Its Affiliated Debtors (the “Plan”), in accordance with section 502(b) of title 11 of the United States Code (the “Bankruptcy Code”), Rule 3007(d) of the Federal Rules of Bankruptcy Procedure, and this Court’s order approving procedures for the filing of omnibus objections to proofs of claim [ECF No. 6664], seeking disallowance and expungement of the Settled Derivatives Claims on the grounds that the Settled Derivatives Claims are contrary to settlements that the parties have entered into, all as more fully described in the Four Hundred Thirty-Fourth Omnibus Objection to Claims; and due and proper notice of the Four Hundred Thirty-Fourth Omnibus Objection to Claims having been provided to (i) the United States Trustee for Region 2; (ii) the Securities and Exchange Commission; (iii) the Internal Revenue Service; (iv) the United States Attorney for the Southern District of New York; (v) each claimant listed on Exhibit A attached to the Four Hundred Thirty-Fourth Omnibus Objection to Claims; and (vi) all other parties entitled to notice in accordance with the procedures set forth in the second amended order entered on June 17,

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<sup>1</sup> Capitalized terms used herein and not otherwise defined herein shall have the meanings ascribed to such terms in the Four Hundred Thirty-Fourth Omnibus Objection to Claims.

2010, governing case management and administrative procedures for these cases [ECF No. 9635]; and it appearing that no other or further notice need be provided; and the Court having found and determined that the relief sought in the Four Hundred Thirty-Fourth Omnibus Objection to Claims is in the best interests of the Chapter 11 Estates, their creditors, and all parties in interest and that the legal and factual bases set forth in the Four Hundred Thirty-Fourth Omnibus Objection to Claims establish just cause for the relief granted herein; and after due deliberation and sufficient cause appearing therefor, it is

ORDERED that the relief requested in the Four Hundred Thirty-Fourth Omnibus Objection to Claims is granted to the extent provided herein; and it is further

ORDERED that, pursuant to section 502(b) of the Bankruptcy Code, the Settled Derivatives Claims listed on Exhibit 1 annexed hereto are disallowed and expunged in their entirety with prejudice; and it is further

ORDERED that this Order has no res judicata, estoppel, or other effect on the validity, allowance, or disallowance of, and all rights to object and defend on any basis are expressly reserved with respect to, any claim listed on Exhibit A annexed to the Four Hundred Thirty-Fourth Omnibus Objection to Claims that does not appear on Exhibit 1 annexed hereto; and it is further

ORDERED that this Court shall retain jurisdiction to hear and determine all matters arising from or related to this Order.

Dated: \_\_\_\_\_, 2013  
New York, New York

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UNITED STATES BANKRUPTCY JUDGE

## **Exhibit 1**

## OMNIBUS OBJECTION 434: EXHIBIT 1 - NO LIABILITY CLAIM - DERIVATIVE

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	REASON FOR PROPOSED DISALLOWANCE
1 GRANITE FINANCE LIMITED	08-13888 (JMP)	Lehman Brothers Special Financing Inc.	09/22/2009	27871	Undetermined	Undetermined	No Liability Claim - Derivative
2 GRANITE FINANCE LIMITED	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/22/2009	27882	Undetermined	Undetermined	No Liability Claim - Derivative
TOTAL					\$0.00	\$0.00	



**EXHIBIT F**  
**(Proposed Order – ECF No. 39579)**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X  
**In re** : **Chapter 11 Case No.**  
 :  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **08-13555 (JMP)**  
 :  
**Debtors.** : **(Jointly Administered)**  
-----X

**ORDER GRANTING FOUR HUNDRED THIRTY-FIFTH  
OMNIBUS OBJECTION TO CLAIMS (NO LIABILITY DERIVATIVES CLAIMS)**

Upon the four hundred thirty-fifth omnibus objection to claims, dated August 20, 2013 (the “Four Hundred Thirty-Fifth Omnibus Objection to Claims”),<sup>1</sup> of Lehman Brothers Holdings Inc. (“LBHI” and the “Plan Administrator”), as Plan Administrator under the Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and Its Affiliated Debtors (the “Plan”), pursuant to section 502(b) of title 11 of the United States Code (the “Bankruptcy Code”), Rule 3007(d) of the Federal Rules of Bankruptcy Procedure, and this Court’s order approving procedures for the filing of omnibus objections to proofs of claim [Docket No. 6664] (the “Procedures Order”), seeking disallowance and expungement of the No Liability Derivatives Claims on the grounds that they assert claims for which Lehman Brothers Special Financing Inc. (the “Chapter 11 Estate”) has no liability, all as more fully described in the Four Hundred Thirty-Fifth Omnibus Objection to Claims; and due and proper notice of the Four Hundred Thirty-Fifth Omnibus Objection to Claims having been provided to (i) the United States Trustee for Region 2; (ii) the Securities and Exchange Commission; (iii) the Internal Revenue Service; (iv) the United States Attorney for the Southern District of New York; (v) the claimants listed on Exhibit A attached to the Four Hundred Thirty-Fifth Omnibus Objection to

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<sup>1</sup> Capitalized terms used herein and not otherwise defined herein shall have the meanings ascribed to such terms in the Four Hundred Thirty-Fifth Omnibus Objection to Claims.

Claims; and (vi) all other parties entitled to notice in accordance with the procedures set forth in the second amended order entered on June 17, 2010 governing case management and administrative procedures for these cases [Docket No. 9635]; and the Court having found and determined that the relief sought in the Four Hundred Thirty-Fifth Omnibus Objection to Claims is in the best interests of the Chapter 11 Estate, its creditors, and all parties in interest and that the legal and factual bases set forth in the Four Hundred Thirty-Fifth Omnibus Objection to Claims establish just cause for the relief granted herein; and after due deliberation and sufficient cause appearing therefor, it is

ORDERED that the relief requested in the Four Hundred Thirty-Fifth Omnibus Objection to Claims is granted to the extent provided herein; and it is further

ORDERED that, pursuant to section 502(b) of the Bankruptcy Code, the claims listed on Exhibit 1 annexed hereto are disallowed and expunged in their entirety with prejudice; and it is further

ORDERED that this Order has no res judicata, estoppel, or other effect on the validity, allowance, or disallowance of, and all rights to object and defend on any basis are expressly reserved with respect to, any claim listed on Exhibit A annexed to the Four Hundred Thirty-Fifth Omnibus Objection to Claims that is not listed on Exhibit 1 annexed hereto; and it is further

ORDERED that this Court shall retain jurisdiction to hear and determine all matters arising from or related to this Order.

Dated: \_\_\_\_\_, 2013  
New York, New York

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UNITED STATES BANKRUPTCY JUDGE

## **Exhibit 1**

**OMNIBUS OBJECTION 435: EXHIBIT 1 - NO LIABILITY CLAIM - DERIVATIVE**

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE DISALLOWED	REASON FOR PROPOSED DISALLOWANCE
1 HARBINGER CAPITAL PARTNERS MASTER FUND I, LTD.	08-13888 (JMP)	Lehman Brothers Special Financing Inc.	05/20/2010	66653	Undetermined	Undetermined	No Liability Claim - Derivative
<p>Claim 66653 has been asserted in the amount of \$264,000,000 plus unliquidated amounts. Pursuant to the Order Granting Debtors' Two Hundred Fifty-First Omnibus Objection to Claims [Docket No. 25656], the portion of Claim 66653 asserting a claim totaling \$264,000,000 against Lehman Brothers Special Financing Inc. ("LBSF") was allowed per that certain Termination and Settlement Agreement dated as of May 10, 2010 by and among Harbinger Capital Partners Master Fund I, Ltd., LBSF, and LBHI (the "Allowed Claims"). This order has no effect whatsoever on the Allowed Claims, and only affects that portion of Claim 66653 asserting an unliquidated claim in connection with that certain Cross Margining and Netting Agreement dated as of December 18, 2007 which was not previously allowed pursuant to the Two Hundred Fifty-First Omnibus Objection to Claims.</p>							
2 HARBINGER CAPITAL PARTNERS SPECIAL SITUATIONS FUND, L.P.	08-13888 (JMP)	Lehman Brothers Special Financing Inc.	05/20/2010	66652	Undetermined	Undetermined	No Liability Claim - Derivative
TOTAL					\$0.00	\$0.00	

**EXHIBIT G**  
**(Proposed Order – ECF No. 40020)**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X	
	:
<b>In re</b>	:
	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:
	:
<b>Debtors.</b>	:
	:
-----X	

**Chapter 11 Case No.**  
**08-13555 (JMP)**  
**(Jointly Administered)**

**ORDER PURSUANT TO SECTION 105(a)  
OF THE BANKRUPTCY CODE AND GENERAL ORDER M-452  
FURTHER AMENDING THE ALTERNATIVE DISPUTE RESOLUTION  
PROCEDURES FOR AFFIRMATIVE CLAIMS OF DEBTORS UNDER  
DERIVATIVES TRANSACTIONS WITH SPECIAL PURPOSE VEHICLES**

Upon the motion, dated September 9, 2013 (the “Motion”),<sup>1</sup> of Lehman Brothers Holdings Inc. (“LBHI” and the “Plan Administrator”), as Plan Administrator under the Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and Its Affiliated Debtors (the “Plan”), pursuant to section 105(a) of chapter 11 of title 11 of the United States Code (the “Bankruptcy Code”) and General Order M-452, for an order further amending the *Amended Alternative Dispute Resolution Procedures Order for Affirmative Claims of the Debtors under Derivatives Transactions with Special Purpose Vehicle Counterparties* [ECF No. 29507], as more fully set forth in the Motion; and the Court having jurisdiction to consider the Motion and the relief requested therein in accordance with 28 U.S.C. §§ 157 and 1334 and the Amended Standing Order of Reference M-431, dated January 31, 2012 (Preska, C.J.); and consideration of the Motion and the relief requested therein being a core proceeding pursuant to 28 U.S.C. § 157(b); and venue being proper before this Court pursuant to 28 U.S.C. §§ 1408 and 1409; and due and proper notice of the Motion having been provided in accordance with the

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<sup>1</sup> Capitalized terms used but not defined herein shall have the meanings ascribed to them in the Motion.

procedures set forth in the second amended order entered on June 17, 2010 governing case management and administrative procedures for these cases [ECF No. 9635]; and the Court having found and determined that the relief sought in the Motion is in the best interests of the Chapter 11 Estates, their creditors, and all parties in interest and that the legal and factual bases set forth in the Motion establish just cause for the relief granted herein; and after due deliberation and sufficient cause appearing therefor, it is

ORDERED that the Motion is granted; and it is further

ORDERED that paragraph two of the SPV Derivatives ADR Procedures is revised so that the requirement that an Action be commenced or that the Chapter 11 Estates already be involved in ADR related to a particular SPV Derivatives Transaction prior to instituting an ADR proceeding does not apply to the Affected SPVs set forth on Exhibit 1 annexed hereto; and it is further

ORDERED that all other provisions of the SPV Derivatives ADR Procedures remain in full force and effect; and it is further

ORDERED that service of notice of the Motion by e-mail or facsimile shall be good and sufficient notice of the Motion; and it is further

ORDERED that this Court retains jurisdiction with respect to all matters arising from or related to the implementation of this Order.

Dated: \_\_\_\_\_, 2013  
New York, New York

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UNITED STATES BANKRUPTCY JUDGE



**EXHIBIT 1**

1. ANTHRACITE BALANCED COMPANY (R-26) LIMITED
2. CONSUMER UNSECURED REPERFORMING LOANS (CURL) PLC
3. Corporate Backed Trust Certificates, Goldman Sachs Capital 1 Securities-Backed Series 2004-6 (CBTC 2004-6)
4. DOW JONES CDX.NA.HY.3 TRUST 2 DECEMBER 2009
5. EGYPT TRUST 1 - Series 2000-A
6. EMF NL 2008-2 BV
7. EMF-NL 2008-1 BV
8. EMF-NL Prime 2008-A B.V.
9. EUROSAIL 2006-1 PLC
10. EUROSAIL 2006-2BL PLC
11. EUROSAIL 2006-3NC PLC
12. EUROSAIL 2006-4NP PLC
13. EUROSAIL-NL 2007-2 BV
14. EUROSAIL-UK 2007-1NC PLC
15. EUROSAIL-UK 2007-2NP PLC
16. Flatrock Trust
17. GRANITE Finance Limited Series 2005-7
18. Granite Finance Limited Series 2006-11
19. Granite Finance Limited Series 2006-6
20. LB Commercial Trust 2007-C3, Commercial Mortgage Pass-Through Certificates, Series 2007-C3, Class A-2FL Grantor Trust

21. LB Commercial Trust 2007-C3, Commercial Mortgage Pass-Through Certificates, Series 2007-C3, Class A-MFL Grantor Trust
22. LB-UBS COMMERCIAL MORTGAGE TRUST 2007-C6, Commercial Mortgage pass-Through Certificates Series 2007-C6
23. LB-UBS COMMERCIAL MORTGAGE TRUST 2008-C1, Commercial Mortgage pass-Through Certificates Series 2008-C1
24. PERPETUAL TRUSTEE COMPANY LIMITED as trustee FOR TRILOGY ASSET SECURITIES TRUST SERIES 1
25. REPACKAGED AMERICAN GENERAL Floating Rate Trust Certificates, Series 2003-1 Trust
26. Restructured Asset Certificates With Enhanced Returns, Series 2003-7-A Trust (RACERS 2003-07-A)
27. ROBECO CREDIT LIMITED
28. SAPHIR FINANCE PLC 2007-1
29. SEALINK FUNDING LIMITED